# Factors Relevant for the Regioselective Cyclopolymerization of 1,6-Heptadiynes, $\mathrm{N}, \mathrm{N}$-Dipropargylamines, N,N-Dipropargylammonium Salts, and Dipropargyl Ethers by Rulv $^{\text {² }}$-Alkylidene-Based Metathesis Initiators 

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#### Abstract

The factors relevant for the regioselectivity of insertion of various 1,6-heptadiynes, $N, N-$ dipropargylamines, $\mathrm{N}, \mathrm{N}$-dipropargylammonium salts and dipropargyl ethers into different Rulv ${ }^{\mathrm{IV}}$-alkylidenes, i.e., $\left[\mathrm{Ru}\left(\mathrm{CF}_{3} \mathrm{COO}\right)_{2}\left(\mathrm{IMesH}_{2}\right)(=\mathrm{CHR})\right.$, $\left(\mathrm{R}=2,4,5-(\mathrm{MeO})_{3}-\mathrm{C}_{6} \mathrm{H}_{2}(11), 2-(2-\mathrm{PrO})-5-\mathrm{NO}_{2}-\mathrm{C}_{6} \mathrm{H}_{3}(\mathrm{I} 3), 2-(2-\right.$ $\left.\mathrm{PrO})-\mathrm{C}_{6} \mathrm{H}_{4} \quad(14)\right), \quad\left[\mathrm{Ru}\left(\mathrm{CF}_{3} \mathrm{COO}\right)_{2}(1,3\right.$-dimesityl-3,4,5,6-tetrahydropyrimidin-2-ylidene)( $=\mathrm{CH}-2-(2-\mathrm{PrO})-5-$ $\left.\left.\mathrm{NO}_{2}-\mathrm{C}_{6} \mathrm{H}_{3}\right)\right](\mathrm{I2}),\left[\mathrm{Ru}\left(\mathrm{CF}_{3} \mathrm{COO}\right)_{2}(3\right.$-mesityl-1-((1'R)-1'-phenylethyl)-imidazolin-2-ylidene)( $=\mathrm{CH}-2-(2-\mathrm{PrO})-$ $\left.\left.\mathrm{C}_{6} \mathrm{H}_{4}\right)\right](\mathrm{I5})$ and $\left[\mathrm{Ru}\left(\mathrm{C}_{6} \mathrm{~F}_{5} \mathrm{COO}\right)_{2}\left(\mathrm{IMesH} \mathrm{H}_{2}\right)\left(=\mathrm{CH}-2-(2-\mathrm{PrO})-\mathrm{C}_{6} \mathrm{H}_{4}\right)\right](\mathrm{I} 6)$, $\left(\mathrm{IMesH}_{2}=1,3\right.$-dimesitylimidazolin-2ylidene), is described. ${ }^{13} \mathrm{C}$ NMR experiments revealed that all polymers synthesized by the action of I1-I6 consisted virtually solely (>95\%) of five-membered repeat units, i.e., (cyclopent-1-enylene)-1,2-vinylenes, 3,4-(1H-2,5-dihydropyrrylenium)-3,4-vinylenes, and (2-pentyl-2,5-dihydrofurylene)-3,4-vinylenes, respectively. The ${ }^{13} \mathrm{C}$ NMR-based assignments were supported by the synthesis of model compounds, i.e., (cyclopent3 -ene-1,1-diyldimethylbis(tris(3,5-dimethoxyphenyl)carboxylate) (MC1) and N -propyl- N -ethyl-2,5-dihydropyrrolium tetrafluoroborate (MC2), as well as by ene-yne cross metathesis reactions of 3-(propargyloxy)-1-octyne (M6) with trimethylallylsilane. In the polymerization of $N$-ethyl- $N, N$-dipropargylamine (M9), an intermediate was isolated that sheds light onto the role of heteroatoms in the 4-position of 1,6-heptadiynes in cyclopolymerization. In addition, in the cyclopolymerization of M9 by $\mathbf{I 4}$ the product resulting from backbiting has been isolated and explains for the low polymerization propensity of Ru-alkylidenes for N -alkyl- $\mathrm{N}, \mathrm{N}$-dipropargylamines.


## Introduction

Conjugated polymers play an important role in various electronic applications. ${ }^{1,2}$ Apart from their conductivity, their photo- and electroluminescence properties are attracting great interest. Owing to their luminescence properties, they are also used in several electronic applications, such as organic lightemitting diodes (OLEDs), solar cells, photovoltaic devices, lasers, all-plastic full-color image sensors, and field effect transistors. ${ }^{3-7}$ In addition, they possess interesting third-order

[^0]nonlinear properties. ${ }^{8}$ Poly(acetylene) is the simplest representative of an organic conjugated polymer and has been studied intensively. ${ }^{9}$ However, because of the poor solubility and stability, poly(1-alkyne)s including poly(acetylene) have never found their way into any application. Instead, other, more stable conjugated materials are used. ${ }^{3-6,10}$ Poly(acetylene)s derived from the cyclopolymerization of (substituted) 1,6-heptadiynes with the help of metathesis catalysts ${ }^{11,12}$ possess cyclic structures recurring along the conjugated backbone, thus providing enhanced stability and excellent processability. ${ }^{10}$ The repeat units of such cyclopolymerization-derived polymers may consist of either five- or six-membered rings, depending on the insertion mode of the monomer into the initiator (Scheme 1). Usually, one refers to these two different insertion modes as $\alpha$ - and $\beta$-insertion (addition), respectively. ${ }^{13}$ While both polymers are conjugated, it has been shown that particularly those based on

[^1]Scheme 1. Formation of Poly(ene)s Based on Five- and Six-Membered Repeat Units ${ }^{a}$

${ }^{a}$ For $\mathrm{X}=\mathrm{N}, \mathrm{O}$ coordination to the metal is proposed.
five-membered repeat units possess higher effective conjugation lengths and higher conductivity. ${ }^{14}$

In principle, ternary systems, ${ }^{15}$ well-defined Mo-based Schrocktype catalysts ${ }^{13,16-25}$ and fluorocarboxylate-modified Grubbstype metathesis catalysts ${ }^{14,26-31}$ may be used for cyclopolymerization. Here, particularly Schrock and in selected cases also the modified Grubbs-type initiators allow for controlled or even living ${ }^{32,33}$ polymerizations. Important enough, Schrock-type initiators allow for accomplishing cyclopolymerizations in a both stereo- and regioselective manner, offering access to both fiveand six-membered repeat units. In contrast to that, cyclopolymerizations triggered by $\mathrm{Ru}^{\mathrm{IV}}$-based metathesis initiators based on the $\mathrm{Ru}\left(\mathrm{CF}_{3} \mathrm{COO}\right)_{2}\left(\mathrm{IMesH}_{2}\right)(\mathrm{CHR})$ motif so far produced poly(ene)s that were exclusively ( $>95 \%$ ) based on fivemembered ring units, i.e., 1,2-(cyclopent-1-enylene)-

[^2]vinylenes. ${ }^{14,26-30,34}$ We were interested in the questions, which factors actually determine the ring size of the repeat unit in the final Ru-alylkidene triggered, cyclopolymerization-derived polymer, whether the formation of five-membered repeat units was a general principle, and if yes, why? For that purpose, we performed variations in the initiators' structure, i.e., in the nature of the $N$-heterocyclic carbene, the fluorocarboxylate ligand, as well as in the benzylidene moiety. Complementary, we synthesized sterically demanding, aromatic or alkoxyarylesterderived 1,6-heptadiynes. In addition, we investigated the cyclopolymerization of 4-aza-1,6-heptadiynes, quaternary dialkylammonium salts, as well as of the ether-derived monomer 3-(propargyloxy)-1-octyne (M6) by initiators I1-I6. A summary of the monomer's and initiators that have been used is given in Figure 1. The ring-sizes of the polymers' repeat units were determined by ${ }^{13} \mathrm{C}$ NMR and confirmed with the aid of model compounds that were prepared via ring-closing metathesis (RCM) of the corresponding diallyl progenitors by the action of the second-generation Grubbs catalyst $\mathrm{RuCl}_{2}\left(\mathrm{IMesH}_{2}\right)$ $\left(\mathrm{PCy}_{3}\right)(\mathrm{CHPh})$. Since poly-M6, whether based on five- or sixmembered repeat units would be characterized by the same number of signals in the ${ }^{13} \mathrm{C}$ NMR, ene-yne cross metathesis reactions of M6 with trimethylallylsilane were carried out to trap the "first-insertion" product of the polymerization and determine its ring size.

## Results and Discussion

Synthesis of Monomers and Initiators. The synthetic route for the preparation of monomers M1-M3 entailed the reduction of diethyl dipropargylmalonate with $\mathrm{LiAlH}_{4}$ to form the corresponding dialcohol, ${ }^{20,21}$ which was then subject to esterification reactions using various arylcarboxylic chlorides. Yields were $\geq 90 \%$ throughout. Monomers M4 and M5, which are based on $\mathrm{N}, \mathrm{N}$-dialkyl- $\mathrm{N}, \mathrm{N}$-dipropargylammonium salts, were prepared via quaternization of the parent dipropargylamines with triethyloxonium tetrafluoroborate in $77 \%$ and $91 \%$ yield, respectively (Scheme 2).

The structures of all monomers are summarized in Figure 1. Initiators $\mathbf{I 1}-\mathbf{I 4}$ were prepared according to published procedures. ${ }^{14,26,27,35,36} \mathbf{I 5}$ was accessible via reaction of $\left[\mathrm{RuCl}_{2}(3-\right.$ mesityl-1-(( $\left.1^{\prime} R\right)-1^{\prime}$-phenylethyl)-imidazolin-2-ylidene) $(=\mathrm{CH}-2-$ (2-PrO) $\left.\left.\mathrm{C}_{6} \mathrm{H}_{4}\right)\right]^{37}$ with $\mathrm{CF}_{3} \mathrm{COOAg}$. I5 crystallizes in the orthor-

[^3]

M1: $\quad R=\left(\mathrm{C}_{6} \mathrm{H}_{5}\right) \mathrm{CO}$
M2, 7: $\mathrm{R}=\left(3,5-\left(\mathrm{OCH}_{3}\right)_{2} \mathrm{C}_{6} \mathrm{H}_{3}\right) \mathrm{CO}$
M3: $\quad R=\left(3,5-\left(\mathrm{OCH}_{2} \mathrm{CH}_{3}\right)_{2} \mathrm{C}_{6} \mathrm{H}_{3}\right) \mathrm{CO}$


Figure 1. Structure of compounds M1- M6, 7, 8, M9, M10, and initiators I1-I6.
Scheme 2. Synthesis of M4 and M5, as well as of I5 and I6

hombic space group $P 2_{1} 2_{1} 2_{1}, a=989.76(2) \mathrm{pm}, b=1122.53(2)$ $\mathrm{pm}, c=3099.91(6) \mathrm{pm} ; \alpha=\beta=\gamma=90^{\circ}, Z=4$. Its structure is shown in Figure 2; relevant bond lengths and angles are also summarized in Figure 2. Compared to other bis(trifluorocarboxylate) derivatives of the second-generation Grubbs-Hoveyda catalyst, changes in the ligand sphere hardly affect the Rualkylidene. Thus, the bond length of the Ru-alkylidene (182.7(3) $\mathrm{pm})$ is identical to the one found in $\mathbf{I} \mathbf{2}^{36}$ and $\mathbf{I 4},{ }^{35}$ respectively. The same accounts for the distance $\mathrm{Ru}(1)-\mathrm{O}(1)$ (227.77(18) $\mathrm{pm})$. Furthermore, the angle between the two trifluoroacetate ligands is $\sim 160^{\circ}$ as found in $\mathbf{I 2}$ and $\mathbf{I 4}$. Finally, $\mathbf{I 6}$ was prepared from $\left[\mathrm{RuCl}_{2}\left(\mathrm{IMesH}_{2}\right)\left(=\mathrm{CH}-2-(2-\mathrm{PrO})-\mathrm{C}_{6} \mathrm{H}_{4}\right)\right]$ via reaction with $\mathrm{C}_{6} \mathrm{~F}_{5} \mathrm{COOAg}$ (Figure 1, Scheme 2).

Insertion Mode, Polymer Structure, and Mechanistic Investigations. Polymerization of $\mathbf{1 , 6}$-Heptadiynes Containing a Carbon at the 4 -Position. The cyclopolymerization of 1,6-

[^4]heptadiynes by well-defined metal alkylidenes proceeds either via $\alpha$ - or $\beta$-addition of one triple bond to the metal alkylidene, followed by cyclization, thus resulting in the formation of fiveand six-membered repeat units along the polymer backbone (Scheme 1). ${ }^{13,16,38}$

Polymerization of M1, M2, and M3 by the action of I1, I2, or I3 proceeded smoothly and produced the corresponding polymers poly-M1, poly-M2, and poly-M3 in 71-83\% isolated yield. Monomer conversion was $>99 \%$ in all cases. Polydispersity indexes (PDIs) were in the range of $1.4-2.5$. Though monomer conversion was $>99 \%$, a comparison of the theoretical values for $M_{\mathrm{n}}$ with those determined by GPC (Table 1) revealed that none of these polymerizations was living or even controlled.

[^5]

Figure 2. Single-crystal X-ray structure of $\mathbf{I 5} . \mathrm{Ru}(1)-\mathrm{C}(18): 182.7(3) \mathrm{pm}$; $\mathrm{Ru}(1)-\mathrm{C}(1): 199.9(3) \mathrm{pm} ; \mathrm{Ru}(1)-\mathrm{O}(1): 227.8(2) \mathrm{pm} ; \mathrm{O}(4)-\mathrm{Ru}(1)-\mathrm{O}(2)$ : $158.79(8)^{\circ} ; \mathrm{C}(1)-\mathrm{Ru}(1)-\mathrm{O}(1): 176.57(9)^{\circ}$.
${ }^{1} \mathrm{H}$ and ${ }^{13} \mathrm{C}$ NMR spectra revealed that upon initiation with I1-I6, poly-M1, poly-M2, and poly-M3, were formed via selective $\alpha$-addition, thus resulting in polymers based on $>95 \%$ five-membered ring units. A representative ${ }^{13} \mathrm{C}$ NMR spectrum is shown in Figure 3; for the ${ }^{13} \mathrm{C}$ NMR of the poly-M2 and poly-M3 prepared by the action of $\mathbf{I} \mathbf{1}-\mathbf{I 6}$, kindly refer to the Supporting Information.

The carbon signals around $123-137 \mathrm{ppm}$ (poly-M1, polyM2, and poly-M3) represent the olefinic carbons of the polymers. The number of the carbon signals exactly fits a fivemembered ring-based repeat unit (a six-membered ring-based repeat unit would result in three additional signals). In addition, a model compound, i.e., (cyclopent-3-ene-1,1-diyldimethyl bis(tris(3,5-dimethoxyphenyl)carboxylate) (MC1) was synthesized via ring-closing metathesis ( RCM ) from the progenitor 7 using the second-generation Grubbs catalyst $\mathrm{RuCl}_{2}\left(\mathrm{IMesH}_{2}\right)$ $\left(\mathrm{PCy}_{3}\right)(\mathrm{CHPh})$ (Scheme 3).

MC1 is a mimic of the repeat unit of poly-M2. As a matter of fact, the ${ }^{13} \mathrm{C}$ NMR chemical shifts of MC1 (39.4 ppm ( $\left.\mathbf{C}_{\text {quat }}\right)$, $166.3 \mathrm{ppm}(\mathbf{C O}))$ fitted those of poly-M2 (39.8 ppm ( $\left.\mathbf{C}_{\text {quat }}\right)$, $166.2 \mathrm{ppm}(\mathbf{C O})$ ) very well, thus further supporting the fivemembered ring structure. From the signal/noise ratio of the ${ }^{13} \mathrm{C}$ NMR spectra of the polymers, a five-membered ring content of at least $95 \%$ was assigned. It is noteworthy that the cyclopolymerization of 1,6-heptadiynes that contain both small (e.g., bis(methoxycarbonyl)) ${ }^{14,26-28,35}$ and large, bulky groups as realized in M1-M3 by Ru-alkylidenes resulted in polymers virtually solely based on five-membered repeat units. Apparently, there is no influence of the size of the substituents in the 4-position of the monomer on the mode of insertion. This is in sharp contrast to Schrock-catalyst-triggered cyclopolymerizations. ${ }^{39}$ There, the ring size of the repeat unit is strongly affected by the size of the substituent in the 4-position of the heptadiyne. Thus, the cyclopolymerization of 4-ethoxycarbonyl-1,6-heptadiyne, e.g., by the binuclear initiator $1,4-\{\mathrm{Mo}(\mathrm{N}-2,6-(2-$ $\left.\left.\mathrm{Pr})_{2} \mathrm{C}_{6} \mathrm{H}_{3}\right)\left[\mathrm{OCMe}\left(\mathrm{CF}_{3}\right)_{2}\right]_{2}-\mathrm{CH}\right\}_{2} \mathrm{C}_{6} \mathrm{H}_{4}$ results in polymers with a five-membered ring content of only $32 \%$, while the cyclopolymerization of 4,4-bis(tert-butoxycarbonyl)-1,6-heptadiyne by the same catalyst gives raise to polymers with a five-membered
(39) Mayershofer, M. G.; Nuyken, O.; Buchmeiser, M. R. Macromolecules 2006, 39, 2452.
ring content of $58 \%$. This low or negligible influence of the monomer's size on the mode of insertion into Ru-alkylidenes may be explained by the reaction cascades shown for both $\alpha$ and $\beta$-insertion (Scheme 4).

Thus, monomers have been proposed to preferably coordinate trans to the N-heterocyclic carbene (NHC), though more recently also a side-on coordination was proposed and found. ${ }^{37,40,41}$ $\alpha$-Addition, finally resulting in five-membered repeat units, apparently can proceed without any significant steric interaction between any of the initiator's ligands and the growing polymer chain. In contrast to that, the formation of the second ruthenacyclobutene in course $\beta$-addition results in a strong interaction of the NHC and the growing polymer chain, which apparently impedes this reaction pathway. Since all metathesis reactions are considered reversibly, ${ }^{42}$ the initiator is not blocked and may, after cycloreversion, proceed via $\alpha$-addition.

If all that was true, then neither the size of the NHC nor the size of the fluorocarboxylates should have a significant influence on the mode of insertion. As a matter of fact, this was found true at least for initiators $\mathbf{I 1}-\mathbf{I} 6$. The same regioselectivity of insertion of monomers M1-M3, i.e., $\alpha$-addition, was observed, irrespective of the fact that both the NHC ligand in $\mathbf{1 5}$ and the pentafluorobenzoate ligand in I6 may well be regarded as sterically demanding. So far, the results obtained perfectly fit the ones reported earlier for comparatively "small" monomers such as dimethyldipropargyl malonate and diethyldipropargyl malonate polymerized by pentafluoropropionate and heptafluorobutyrate derivatives of the second-generation Grubbs-Hoveyda catalyst ${ }^{27}$ and suggest that Ru-alkylidenes in fact selectively form poly(enes) based on five-membered repeat units, irrespectively of the initiator used.

1,6-Heptadiynes Containing Amines at the 4-Position. Next, we were interested whether $N$-alkyl- $\mathrm{N}, \mathrm{N}$-dipropargylamines could be polymerized by Ru-alkylidenes and what the mode of insertion would be. As mentioned earlier, ${ }^{30}$ neither N -ethyl- $\mathrm{N}, \mathrm{N}$ dipropargylamine (M9) nor N -propyl- $\mathrm{N}, \mathrm{N}$-dipropargylamine (M10) could be polymerized by any of the initiators to a significant extent. Thus, upon mixing I4 and M9 in a 1:3 ratio inside an NMR tube, we could still observe significant amounts of both the monomer and $\mathbf{I 4}$ after 1 h . A weak new Rualkylidene signal at 17.07 ppm indicated that initiation had occurred. We assign these findings to a strong coordination of the amine-nitrogen to the metal. After capping the reaction of M9 with I4 after 1 h with ethyl vinyl ether, we were still able to detect significant amounts of a compound with $\mathrm{m} / \mathrm{z}=245$ $\mathrm{g} / \mathrm{mol}^{-1}$ by GC-MS. This $m / z$ value can be attributed to the capped intermediates IM1 or IM2 (Scheme 5). Such an intermediate has to the best of our knowledge never been observed before. It provides not only unambiguous proof for the polymerization mechanism proposed by Schrock et al., ${ }^{13,16}$ but is also indicative for the strong intramolecular coordination of the amine moiety to the metal center, which strongly stabilizes this intermediate, irrespective of the mode of insertion (Scheme 1). In contrast to N -aryl- $\mathrm{N}, \mathrm{N}$-dipropargylamines, ${ }^{31}$ coordination apparently becomes very strong in "small" and basic $N$-alkyl$\mathrm{N}, \mathrm{N}$-dipropargylamines (alkyl = ethyl, propyl), which ultimately leads to the total shut down of polymerization after two monomer insertions. Thus, prolonged reaction of M9 with I4
(40) Anderson, D. R.; Hickstein, D. D.; O'Leary, D. J.; Grubbs, R. H. J. Am. Chem. Soc. 2006, 128, 8386.
(41) Wenzel, A. G.; Grubbs, R. H. J. Am. Chem. Soc. 2006, 128, 16048. (42) Grubbs, R. H. Handbook of Metathesis, 1st ed.; Wiley-VCH: Weinheim, 2003; Vol. 13.

Table 1. Selected GPC and UV-Vis Data (All in $\mathrm{CHCl}_{3}$ ) of Polymers Synthesized by the Action of Initiators I1-I3, Respectivelya

| M | [M]/[1] | 1 | $M_{\text {n }}$ (theor.) $[\mathrm{g} / \mathrm{mol}]^{\text {b }}$ | $M_{\mathrm{n}}$ (found) [g/mol] | $M_{w}$ (found) $[\mathrm{g} / \mathrm{mol}]$ | PDI | $\lambda_{\text {max }}[\mathrm{nm}]$ | yield $[\%]^{\text {c }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| M1 | 1:100 | I1 | 36200 | 17900 | 31000 | 1.72 | 588 | 76 |
| M1 | 1:50 | I1 | 18200 | 23500 | 46900 | 1.91 | 588 | 79 |
| M1 | 1:30 | I1 | 11000 | 18600 | 32700 | 1.75 | 589 | 76 |
| M2 | 1:100 | I1 | 45400 | 27400 | 43600 | 1.52 | 586 | 80 |
| M2 | 1:50 | I1 | 22800 | 30800 | 58100 | 1.86 | 591 | 81 |
| M2 | 1:30 | I1 | 13700 | 22000 | 38300 | 1.73 | 590 | 75 |
| M3 | 1:100 | I1 | 53800 | 16800 | 28800 | 1.71 | 584 | 73 |
| M3 | 1:50 | I1 | 27000 | 14200 | 21300 | 1.45 | 583 | 75 |
| M3 | 1:30 | I1 | 16200 | 15000 | 23900 | 1.51 | 583 | 71 |
| M1 | 1:100 | I2 | 36200 | 13000 | 19900 | 1.53 | 588 | 76 |
| M1 | 1:50 | I2 | 18200 | 9800 | 16100 | 1.64 | 581 | 78 |
| M1 | 1:30 | I2 | 11000 | 21600 | 39900 | 1.84 | 588 | 72 |
| M2 | 1:100 | I2 | 45400 | 16800 | 28800 | 1.73 | 586 | 80 |
| M2 | 1:50 | I2 | 22800 | 12400 | 24400 | 1.96 | 586 | 79 |
| M2 | 1:30 | I2 | 13700 | 19600 | 35000 | 1.78 | 588 | 83 |
| M3 | 1:100 | I2 | 53800 | 21400 | 35300 | 1.67 | 588 | 75 |
| M3 | 1:50 | I2 | 27000 | 14200 | 21300 | 1.49 | 583 | 75 |
| M3 | 1:30 | I2 | 16200 | 18400 | 28900 | 1.52 | 582 | 78 |
| M1 | 1:100 | I3 | 36200 | 13000 | 24600 | 1.88 | 583 | 70 |
| M1 | 1:50 | 13 | 18200 | 12000 | 22500 | 1.87 | 583 | 75 |
| M1 | 1:30 | I3 | 11000 | 12100 | 29600 | 2.45 | 588 | 79 |
| M2 | 1:100 | I3 | 45400 | 30500 | 54000 | 1.77 | 591 | 82 |
| M2 | 1:50 | I3 | 22800 | 37700 | 17900 | 2.10 | 589 | 74 |
| M2 | 1:30 | I3 | 13700 | 18000 | 30400 | 1.68 | 587 | 80 |
| M3 | 1:100 | I3 | 53800 | 21500 | 55600 | 2.58 | 593 | 72 |
| M3 | 1:50 | I3 | 27000 | 24200 | 60600 | 2.49 | 592 | 71 |
| M3 | 1:30 | I3 | 16200 | 18800 | 33100 | 1.75 | 589 | 80 |

${ }^{a}$ Polymerization conditions: $\mathrm{CHCl}_{3}, 55{ }^{\circ} \mathrm{C}, 24 \mathrm{~h} .{ }^{b}$ Including end groups. ${ }^{c}$ Isolated yields.


Figure 3. ${ }^{13} \mathrm{C}$ NMR spectrum of poly- $\mathbf{M 1}$ in $\mathrm{CDCl}_{3}$ synthesized by the action of $\mathbf{I} \mathbf{2}$ (the asterisk denotes $\mathrm{CDCl}_{3}$ ).

Scheme 3. Synthesis of Model Compounds MC1 and MC2 via RCM

allowed for the isolation of compound 11 in $32 \%$ yield. It apparently forms via insertion of M9 into I4, consecutive insertion of one further propargyl moiety of M4 into the Rualkylidene followed by back-biting (Scheme 6). The signals of the pending propargyl group in $\mathbf{1 1}$ have been clearly identified by IR ( $3294 \mathrm{~cm}^{-1}$ ) and by ${ }^{1} \mathrm{H} \operatorname{NMR}\left(3.3\left(\mathrm{CH}_{2}, \mathrm{~d}, J=2.4 \mathrm{~Hz}\right)\right.$ and $2.2 \mathrm{ppm}(\mathrm{CH}, \mathrm{t}, J=2.4 \mathrm{~Hz})$, Supporting Information). Both the ${ }^{1} \mathrm{H}$ and ${ }^{13} \mathrm{C}$ NMR data fit the proposed structure 11 which
forms either via consecutive $\alpha, \alpha$ or $\alpha, \beta$ insertion. Structures 11a and 11b would be formed via consecutive $\beta, \alpha$ and $\beta, \beta$ insertion, respectively, and would, at least in theory, give similar NMR spectra. Nevertheless, because of the high ring strain of the bicyclic structures, they may well be ruled out. (Structure 11a may additionally be ruled out by NMR for symmetry reasons). The result of this reaction is thus formation of $\mathbf{1 1}$ under regeneration of the initiator $\mathbf{I 4}$. This initiator regeneration may also be followed by ${ }^{1} \mathrm{H}$ NMR. Thus, after $\sim 18 \mathrm{~min}$, the intensity of the starting alkylidene signal at 17.45 ppm becomes weaker on the expense of the signal of the first insertion product at 17.07 ppm . Toward the end of the reaction, however, the ratio of the propagating over the starting alkylidene strongly decreased again (Supporting Information).

At this point it is worth emphasizing that, e.g., norborn-2-ene-based monomers bearing tertiary amine moieties may well

Scheme 4. Reaction Cascade for Ru-Alkylidene-Triggered Cyclopolymerization Proceeding via $\alpha$ - or $\beta$-Addition


Scheme 5. Proposed Structures of IM1 and IM2 Formed via the Reaction of M9 with I4

be polymerized by a series of Grubbs-type initiators, ${ }^{43}$ further supporting the idea that rather the formation of the cyclic intermediates than a simple coordination of the amine to the ruthenium is responsible for the low polymerization propensity of 4-aza-1,6-heptadiynes. Similar coordination of heteroatoms to a Ru center as described here has been reported by Grubbs et al., however, for Ru-alkylidenes with the heteroatom directly attached to the carbene. ${ }^{44}$ There, the corresponding intermediates resulting from coordination of the heteroatom to the metal are based on three-membered rings. In due consequence, stabilization is comparably poor, and the corresponding metal complexes are in fact active in various olefin metathesis reactions.

1,6-Heptadiynes Containing Quaternary Ammonium Moieties at the 4 -Position. From Scheme 1 it becomes apparent that the stabilization of the intermediate is only possible in case X is a coordinating moiety, e.g., N or O (as in M6). If all that was true, quaternary dipropargylammonium salts such as M4 or M5 should not be capable of forming such an intermediate and should therefore behave similar to M1-M3 and other monomers. ${ }^{28}$ In due consequence, poly(ene)s virtually solely based on five-membered ring-based repeat units might be expected.
In fact, both M4 and M5 can be polymerized by I1-I6. The corresponding polymers were obtained in 28-98\% isolated

[^6]yield. Generally, monomer conversion was $>99 \%$, lower yields were a result of the good solubility of the polymers, particularly of the low-molecular-weight ones. PDIs were in the range of 1.01-1.9 (Table 2). Again, none of these polymerizations was living or controlled. It must be emphasized that all molecular weights were determined by calibration vs poly(styrene).
Poly-M4 and poly-M5 prepared by any of the initiators I1-I6 again consisted virtually solely ( $>95 \%$ ) of five-membered repeat units. The two carbon signals around $125-135 \mathrm{ppm}$ again represent the olefinic carbons of the polymers (Figure 4). The number of the carbon signals again exactly fits a five-membered ring-based repeat unit and the chemical shifts ( 124.6 ppm $\left.(\mathbf{C}=\mathbf{C}), 68.9 \mathrm{ppm}\left(\mathrm{N}^{+} \mathrm{CH}_{2} \mathrm{C}=\mathrm{C}\right)\right)$ of the model compound $N$-propyl- $N$-ethyl-2,5-dihydropyrrolium tetrafluoroborate (MC2, Scheme 3), prepared via RCM from 8 , fit the ones of poly-M4 (123.9 ( $\mathbf{C}=\mathrm{C}), 68.05 \mathrm{ppm}\left(\mathrm{N}^{+} \mathrm{CH}_{2} \mathrm{C}=\mathrm{C}\right)$ ) very well.

Polymerization of $\mathbf{1 , 6}$-Heptadiynes Containing an Ether Moiety at the 4-Position. We finally turned to the polymerization of 3-(propargyloxy)-1-octyne (M6). To our delight, poly-M6 could be prepared by the action of all initiators I1-I6. Interestingly, the polymerization of M6 by the action of $\mathbf{I} 2$ proceeded in a controlled manner (Table 2). Thus, molecular weights ( $M_{\mathrm{n}}$ ) increased linearly with the number of monomer equivalents added. A graph of number of monomer equivalents $(N)$ vs $M_{\mathrm{n}}$ is shown in Figure 5. Equally important, the number average molecular weight, $M_{\mathrm{n}}$, of poly- $\mathbf{M 6}_{50}$ prepared by the action of

Scheme 6. Reaction of M9 with 14 and Formation of 11 via Back-Biting


Table 2. Selected GPC and UV-Vis Data of Poly-M4 and Poly-M5 (All in DMF) and of Poly-M6 (All in $\mathrm{CHCl}_{3}$ ) Synthesized by the Action of 12, I3, and 14, Respectively

| M | [M]/[] | 1 | $M_{\mathrm{n}}$ (theor.) $[\mathrm{g} / \mathrm{mol}]^{\text {a }}$ | $M_{\mathrm{n}}$ (found) $[\mathrm{g} / \mathrm{mol}]$ | $M_{w}$ (found) $[\mathrm{g} / \mathrm{mol}]$ | PDI | $\lambda_{\text {max }}[\mathrm{nm}]$ | yield [\%] ${ }^{\text {b }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| M4 | 1:200 | I2 | 50400 | 10900 | 11000 | 1.01 | 523 | $38^{\text {c }}$ |
| M4 | 1:100 | I2 | 25300 | 10000 | 10700 | 1.06 | 536 | $40^{c}$ |
| M4 | 1:50 | I2 | 12700 | 8800 | 9100 | 1.03 | 537 | $31^{c}$ |
| M4 | 1:30 | I2 | 7700 | 7000 | 7100 | 1.02 | 538 | $30^{c}$ |
| M4 | 1:200 | I4 | 50300 | 39400 | 45000 | 1.14 | 523 | $96^{c}$ |
| M4 | 1:100 | I4 | 25200 | 35300 | 55200 | 1.56 | 541 | $98^{\text {c }}$ |
| M4 | 1:50 | I4 | 12700 | 7200 | 7400 | 1.03 | 535 | $97^{c}$ |
| M4 | 1:30 | I4 | 7700 | 7000 | 7200 | 1.02 | 537 | $98^{c}$ |
| M5 | 1:200 | I2 | 47600 | 10900 | 11300 | 1.03 | 490 | $35^{\text {d }}$ |
| M5 | 1:100 | I2 | 23900 | 10700 | 11500 | 1.07 | 480 | $28^{d}$ |
| M6 ${ }^{\text {e }}$ | 1:200 | I2 | 33000 | 12700 | 19000 | 1.40 | 510 | 90 |
| M6 ${ }^{\text {e }}$ | 1:100 | I2 | 16600 | 6100 | 12100 | 1.97 | 485 | 88 |
| M6 ${ }^{\text {e }}$ | 1:50 | I2 | 8400 | 4000 | 7300 | 1.78 | 451 | 85 |
| M6 ${ }^{\text {e }}$ | 1:30 | I2 | 5100 | 3600 | 7100 | 1.96 | 467 | 92 |
| M6 ${ }^{e}$ | $1: 50+50$ | I2 | 16800 | 11200 | 19400 | 1.70 | 491 | - |
| M6 ${ }^{\text {e }}$ | 1:200 | I3 | 33000 | 4700 | 9000 | 1.96 | 524 | 85 |
| M6 ${ }^{\text {e }}$ | 1:100 | I3 | 16600 | 4400 | 8600 | 1.95 | 522 | 88 |
| M6 ${ }^{\text {e }}$ | 1:50 | I3 | 8400 | 4300 | 8599 | 1.91 | 525 | 84 |
| M6 ${ }^{\text {e }}$ | 1:30 | I3 | 5100 | 3900 | 6900 | 1.75 | 469 | 90 |

[^7]I2 doubled upon addition of another 50 monomer equivalents after 24 h (Figure 6). On the basis of the ranking of living systems suggested by Matyjaszewski, ${ }^{32}$ this polymerization would fulfill a class VI living system. The comparably low

[^8]values for $M_{\mathrm{n}}$ and the PDIs in the range of $1.40-1.97$ (Table 2 ), however, do not support such a living system and ask for further investigations on the polymers' end groups, e.g., by MALDI-TOF.
This raises the question why M6 can be polymerized in such a controlled manner by $\mathbf{I} 2$ while the other monomers M1-M5


Figure 4. ${ }^{13} \mathrm{C}$ NMR spectra of poly-M5 (a) and poly-M4 (b) in DMSO- $d_{6}$ synthesized by the action of $\mathbf{I 4}$ (the asterisk denotes DMSO- $d_{6}$ ).


Figure 5. Correlation of $M_{\mathrm{n}}$ with the number of monomer equivalents ( $N$ ) for the polymerization of M6 by the action of $\mathbf{I 2}$. Dotted line: $M_{\mathrm{n}(\text { theor })}$, solid line $M_{\mathrm{n} \text { (found) }}$.


Figure 6. GPC trace of poly-M6 prepared by the action of I2. Dashed line, 50 equiv of M6; full line, another 50 equiv of M6 added to the living polymer.
cannot. In order to gain control over molecular weights, the rate constant of initiation, $k_{\mathrm{i}}$, should be larger than the rate constant of polymerization, $k_{\mathrm{p}}$, i.e., $k_{\mathrm{i}} / k_{\mathrm{p}}>1$. All initiators I1-I6
are stabilized by a chelating ether group which is removed from the initiator upon initiation, i.e., is moved to the polymer end. Due to the lack of any additional stabilization, $k_{\mathrm{i}} / k_{\mathrm{p}}$ for M1-M5 may well be expected to be low. The ratio of $k_{\mathrm{i}} / k_{\mathrm{p}}$ may be determined by ${ }^{1} \mathrm{H}$ NMR provided the fact that all monomer has been consumed and no chain transfer or termination occurs. ${ }^{45}$ As a consequence of the sensitivity of ${ }^{1} \mathrm{H}$ NMR, insertion products are usually visible down to values for $k_{\mathrm{i}} / k_{\mathrm{p}}>0.002$. As a matter of fact, though polymer formed, no insertion products could be detected in the polymerization of M4 by $\mathbf{I 2}$, $\mathbf{I 4}-\mathbf{I 6}$ at all, supporting values for $k_{\mathrm{i}} / k_{\mathrm{p}} \leq 0.002$. However, as proposed above, the polymerization of M6 is characterized by an intermediary, intramolecular chelation by the monomer's ether group. This should reduce $k_{\mathrm{p}}$ and thus enlarge $k_{\mathrm{i}} / k_{\mathrm{p}}$. Though again no insertion products could be detected for M6 polymerized by the action of $\mathbf{I 2}, \mathbf{I 5}$, and $\mathbf{I 6}$, its polymerization by the action of $\mathbf{I 4}$ in fact resulted in a series of signals corresponding to different insertion products at $\delta=17.60,17.31$, and 17.22 ppm . Due to the overlap with the parent initiator signal, the values for $k_{\mathrm{i}} / k_{\mathrm{p}}$ could not be calculated accurately, however, a rough estimation was made. Thus, for the polymerization systems M6/I4, a value for $k_{i} / k_{p}$ of roughly $0.05 \pm 0.02$ was found. This increase in $k_{\mathrm{i}} / k_{\mathrm{p}}$ is in fact believed to be responsible for the control in polymerization of M6 by I4.

In contrast to the polymers discussed above, the polymer structure of poly-M6 (Figure 7) cannot be clarified by ${ }^{13} \mathrm{C}$ NMR since both five- and six-membered repeat units result in the same number of carbon atoms. Instead, we carried out an ene-yne cross metathesis reaction between M6 and allyltrimethylsilane (Scheme 7). This allowed for isolating the "capped first insertion product" 12, which was based on a five-membered ring, too.

Physico-chemical Properties of Polymers. The phenylesterderived polymers poly-M1, poly-M2, and poly-M3 were isolated as silky dark violet powders. They showed excellent solubility

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Figure 7. ${ }^{13} \mathrm{C}$ NMR spectra of poly-M6 in $\mathrm{CDCl}_{3}$ synthesized by the action of $\mathbf{I} \mathbf{2}$ (bottom) and $\mathbf{I} \mathbf{3}$ (top), respectively (the asterisk denotes $\mathrm{CDCl}_{3}$ ).

Scheme 7. Ene-Yne Cross Metathesis Reaction between M6 and Allyltrimethylsilane

in common organic solvents such as $\mathrm{CHCl}_{3}, \mathrm{CH}_{2} \mathrm{Cl}_{2}$, and THF. UV-vis spectra of the polymers measured in chloroform revealed absorption maxima in the range from 581 to 593 nm (Tables 1 and 2). Similar absorption maxima ( 592 nm ) have been observed for cyclopolymerization-derived poly(ene)s based on 1,6-heptadiynes with comparably small substituents at the 4-position. ${ }^{20-24}$ The fact that poly-M1, poly-M2, and poly-M3 bearing large substituents at the 4-position possess similar absorption maxima suggests that the effective conjugation length in such poly(ene)s his rather dependent on the ring size of the repeat unit than on the substituents in the 4-position.

Poly-M1, poly-M2, and poly-M3 showed excellent long-term stability toward air and moisture; thus, there was no observable change in the UV-vis spectra of the polymers upon exposure to air and moisture for several days. Poly-M4 and poly-M5 were isolated as dark red powders. They showed instant solubility in $\mathrm{CH}_{3} \mathrm{COOH}$, DMSO, DMF, and water. The UV-vis spectra of poly-M4 and poly-M5 in DMF revealed absorption maxima in the range from 480 to 538 nm .

Finally, poly-M6 was isolated as a dark-red polymer. It possessed excellent solubility in organic solvents and displayed a significant hypsochromic shift in absorption, resulting in absorption maxima in the range of $451-525 \mathrm{~nm}$ (Table 2).

## Conclusions

A series of trifluoroacetate- and pentafluorobenzoate-modified Grubbs-Hoveyda-type metathesis initiators have been investigated for their capability of polymerizing sterically demanding, ester-derived 1,6-heptadiynes, dipropargylamines, dipropargylammonium salts, and an alkyl-substituted dipropargyl ether. The
most relevant findings were (i) all polymers were virtually solely ( $>95 \%$ ) based on five-membered repeat units, i.e., 1,2-(cyclo-pent-1-enylene)vinylenes for poly-M1, poly-M2, and poly-M3, 3,4-(1H-2,5-dihydropyrrylenium)vinylenes for poly-M4 and poly-M5 and 3,4-(2-pentyl-2,5-dihydrofurylenevinylene) for poly-M6; (ii) the ether-derived poly-M6 could be prepared in a controlled manner by the action of $\mathbf{I} \mathbf{2}$; and (iii) the aminebased monomers M9 and M10 cannot be cyclopolymerized to a significant extent due to the intramolecular stabilization of the intermediates and significant back-biting. The results presented here clearly indicate that neither the size of the initiator's substituents nor the nature and size of the substituents at the 4 -position of 1,6 -heptadiynes determine the size of the cylic rings along the polymer main chain. Instead, the formation of the second ruthenacyclobutene during $\beta$-addition is strongly disfavored while the one in course of $\alpha$-addition is not. Therefore, so far, the synthesis of cyclopolymerization-derived polyenes with six-membered repeat units remains the sole domain of Schrock catalysts. ${ }^{8,17,18,46}$ Present investigations focus on quantum chemical calculations that provide detailed insights into this particular matter as well as on the synthesis of cyclopolymerization-derived poly(ene)s with improved conductivity. These results will be reported in due course.

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Supporting Information Available: Information on general experimental details, details on M1-3, M5-M10, 7, 8, I5, I6, MC 1-2, ene-yne metathesis product of M6 and allyltrimethylsilane, X-ray details of $\mathbf{I 5}$, and structure of the compound formed via the reaction of M10 with $\mathbf{I 4}$. This material is available free of charge via the Internet at http://pubs.acs.org.
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[^7]:    ${ }^{a}$ Including end groups. ${ }^{b}$ Isolated yields. ${ }^{c} \mathrm{CH}_{2} \mathrm{Cl}_{2}, 40{ }^{\circ} \mathrm{C}, 24 \mathrm{~h} .{ }^{d} \mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{MeOH}, 50{ }^{\circ} \mathrm{C} .{ }^{e} \mathrm{CHCl}_{3}, 50{ }^{\circ} \mathrm{C}, 24 \mathrm{~h}$.

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